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## Differential gene and transcript expression analysis of RNA-seq experiments with TopHat and Cufflinks

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### Abstract

Recent advances in high-throughput cDNA sequencing (RNA-seq) can reveal new genes and splice variants and quantify expression genome-wide in a single assay. The volume and complexity of data from RNA-seq experiments necessitate scalable, fast and mathematically principled analysis software. TopHat and Cufflinks are free, open-source software tools for gene discovery and comprehensive expression analysis of high-throughput mRNA sequencing (RNA-seq) data. Together, they allow biologists to identify new genes and new splice variants of known ones, as well as compare gene and transcript expression under two or more conditions. This protocol describes in detail how to use TopHat and Cufflinks to perform such analyses. It also covers several accessory tools and utilities that aid in managing data, including CummeRbund, a tool for visualizing RNA-seq analysis results. Although the procedure assumes basic informatics skills, these tools assume little to no background with RNA-seq analysis and are meant for novices and experts alike. The protocol begins with raw sequencing reads and produces a transcriptome assembly, lists of differentially expressed and regulated genes and transcripts, and publication-quality visualizations of analysis results. The protocol's execution time depends on the volume of

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transcriptome sequencing data and available computing resources but takes less than 1 d of computer time for typical experiments and ~1 h of hands-on time.

## INTRODUCTION

High-throughput mRNA sequencing (RNA-seq) offers the ability to discover new genes and transcripts and measure transcript expression in a single assay<sup>1–3</sup>. However, even small RNA-seq experiments involving only a single sample produce enormous volumes of raw sequencing reads—current instruments generate more than 500 gigabases in a single run. Moreover, sequencing costs are reducing exponentially, opening the door to affordable personalized sequencing and inviting comparisons with commodity computing and its impact on society<sup>4</sup>. Although the volume of data from RNA-seq experiments is often burdensome, it can provide enormous insight. Just as cDNA sequencing with Sanger sequencers drastically expanded our catalog of known human genes<sup>5</sup>, RNA-seq reveals the full repertoire of alternative splice isoforms in our transcriptome and sheds light on the rarest and most cell- and context-specific transcripts<sup>6</sup>. Furthermore, because the number of reads produced from an RNA transcript is a function of that transcript's abundance, read density can be used to measure transcript<sup>7,8</sup> and gene<sup>2,3,9,10</sup> expression with comparable or superior accuracy to expression microarrays<sup>1,11</sup>.

RNA-seq experiments must be analyzed with robust, efficient and statistically principled algorithms. Fortunately, the bioinformatics community has been hard at work developing mathematics, statistics and computer science for RNA-seq and building these ideas into software tools (for a recent review of analysis concepts and software packages see Garber *et al.*<sup>12</sup>). RNA-seq analysis tools generally fall into three categories: (i) those for read alignment; (ii) those for transcript assembly or genome annotation; and (iii) those for transcript and gene quantification. We have developed two popular tools that together serve all three roles, as well as a newer tool for visualizing analysis results. TopHat<sup>13</sup> (<http://tophat.cbcb.umd.edu/>) aligns reads to the genome and discovers transcript splice sites. These alignments are used during downstream analysis in several ways. Cufflinks<sup>8</sup> (<http://cufflinks.cbcb.umd.edu/>) uses this map against the genome to assemble the reads into transcripts. Cuffdiff, a part of the Cufflinks package, takes the aligned reads from two or more conditions and reports genes and transcripts that are differentially expressed using a rigorous statistical analysis. These tools are gaining wide acceptance and have been used in a number of recent high-resolution transcriptome studies<sup>14–17</sup>. CummeRbund renders Cuffdiff output in publication-ready figures and plots. **Figure 1** shows the software used in this protocol and highlights the main functions of each tool. All tools used in the protocol are fully documented on the web, actively maintained by a team of developers and adopt well-accepted data storage and transfer standards.

### Limitations of the protocol and software

TopHat and Cufflinks do not address all applications of RNA-seq, nor are they the only tools for RNA-seq analysis. In particular, TopHat and Cufflinks require a sequenced genome (see below for references to tools that can be used without a reference genome). This protocol also assumes that RNA-seq was performed with either Illumina or SOLiD sequencing machines. Other sequencing technologies such as 454 or the classic capillary electrophoresis approach can be used for large-scale cDNA sequencing, but analysis of such data is substantially different from the approach used here.

TopHat and Cufflinks are both operated through the UNIX shell. No graphical user interface is included. However, there are now commercial products and open-source interfaces to these and other RNA-seq analysis tools. For example, the Galaxy Project<sup>18</sup> uses a web

interface to cloud computing resources to bring command-line-driven tools such as TopHat and Cufflinks to users without UNIX skills through the web and the computing cloud.

### Alternative analysis packages

TopHat and Cufflinks provide a complete RNA-seq workflow, but there are other RNA-seq analysis packages that may be used instead of or in combination with the tools in this protocol. Many alternative read-alignment programs<sup>19–21</sup> now exist, and there are several alternative tools for transcriptome reconstruction<sup>22,23</sup>, quantification<sup>10,24,25</sup> and differential expression<sup>26–28</sup> analysis. Because many of these tools operate on similarly formatted data files, they could be used instead of or in addition to the tools used here. For example, with straightforward postprocessing scripts, one could provide GSNAP<sup>19</sup> read alignments to Cufflinks, or use a Scripture<sup>22</sup> transcriptome reconstruction instead of a Cufflinks one before differential expression analysis. However, such customization is beyond the scope of this protocol, and we discourage novice RNA-seq users from making changes to the protocol outlined here.

This protocol is appropriate for RNA-seq experiments on organisms with sequenced reference genomes. Users working without a sequenced genome but who are interested in gene discovery should consider performing *de novo* transcriptome assembly using one of several tools such as Trinity<sup>29</sup>, Trans-Abyss<sup>30</sup> or Oases (<http://www.ebi.ac.uk/~zerbino/oases/>). Users performing expression analysis with a *de novo* transcriptome assembly may wish to consider RSEM<sup>10</sup> or IsoEM<sup>25</sup>. For a survey of these tools (including TopHat and Cufflinks) readers may wish to see the study by Garber *et al.*<sup>12</sup>, which describes their comparative advantages and disadvantages and the theoretical considerations that inform their design.

### Overview of the protocol

Although RNA-seq experiments can serve many purposes, we describe a workflow that aims to compare the transcriptome profiles of two or more biological conditions, such as a wild-type versus mutant or control versus knockdown experiments. For simplicity, we assume that the experiment compares only two biological conditions, although the software is designed to support many more, including time-course experiments.

This protocol begins with raw RNA-seq reads and concludes with publication-ready visualization of the analysis. **Figure 2** highlights the main steps of the protocol. First, reads for each condition are mapped to the reference genome with TopHat. Many RNA-seq users are also interested in gene or splice variant discovery, and the failure to look for new transcripts can bias expression estimates and reduce accuracy<sup>8</sup>. Thus, we include transcript assembly with Cufflinks as a step in the workflow (see **Box 1** for a workflow that skips gene and transcript discovery). After running TopHat, the resulting alignment files are provided to Cufflinks to generate a transcriptome assembly for each condition. These assemblies are then merged together using the Cuffmerge utility, which is included with the Cufflinks package. This merged assembly provides a uniform basis for calculating gene and transcript expression in each condition. The reads and the merged assembly are fed to Cuffdiff, which calculates expression levels and tests the statistical significance of observed changes. Cuffdiff also performs an additional layer of differential analysis. By grouping transcripts into biologically meaningful groups (such as transcripts that share the same transcription start site (TSS)), Cuffdiff identifies genes that are differentially regulated at the transcriptional or post-transcriptional level. These results are reported as a set of text files and can be displayed in the plotting environment of your choice.

We have recently developed a powerful plotting tool called CummeRbund (<http://compbio.mit.edu/cummeRbund/>), which provides functions for creating commonly used expression plots such as volcano, scatter and box plots. CummeRbund also handles the details of parsing Cufflinks output file formats to connect Cufflinks and the R statistical computing environment. CummeRbund transforms Cufflinks output files into R objects suitable for analysis with a wide variety of other packages available within the R environment and can also now be accessed through the Bioconductor website (<http://www.bioconductor.org/>).

This protocol does not require extensive bioinformatics expertise (e.g., the ability to write complex scripts), but it does assume familiarity with the UNIX command-line interface. Users should feel comfortable creating directories, moving files between them and editing text files in a UNIX environment. Installation of the tools may require additional expertise and permission from one's computing system administrators.

### Read alignment with TopHat

Alignment of sequencing reads to a reference genome is a core step in the analysis workflows for many high-throughput sequencing assays, including ChIP-Seq<sup>31</sup>, RNA-seq, ribosome profiling<sup>32</sup> and others. Sequence alignment itself is a classic problem in computer science and appears frequently in bioinformatics. Hence, it is perhaps not surprising that many read alignment programs have been developed within the last few years. One of the most popular and to date most efficient is Bowtie<sup>33</sup> (<http://bowtie-bio.sourceforge.net/index.shtml>), which uses an extremely economical data structure called the FM index<sup>34</sup> to store the reference genome sequence and allows it to be searched rapidly. Bowtie uses the FM index to align reads at a rate of tens of millions per CPU hour. However, Bowtie is not suitable for all sequence alignment tasks. It does not allow alignments between a read and the genome to contain large gaps; hence, it cannot align reads that span introns. TopHat was created to address this limitation.

TopHat uses Bowtie as an alignment 'engine' and breaks up reads that Bowtie cannot align on its own into smaller pieces called segments. Often, these pieces, when processed independently, will align to the genome. When several of a read's segments align to the genome far apart (e.g., between 100 bp and several hundred kilobases) from one another, TopHat infers that the read spans a splice junction and estimates where that junction's splice sites are. By processing each 'initially unmappable' read, TopHat can build up an index of splice sites in the transcriptome on the fly without a priori gene or splice site annotations. This capability is crucial, because, as numerous RNA-seq studies have now shown, our catalogs of alternative splicing events remain woefully incomplete. Even in the transcriptomes of often-studied model organisms, new splicing events are discovered with each additional RNA-seq study.

Aligned reads say much about the sample being sequenced. Mismatches, insertions and deletions in the alignments can identify polymorphisms between the sequenced sample and the reference genome, or even pinpoint gene fusion events in tumor samples. Reads that align outside annotated genes are often strong evidence of new protein-coding genes and noncoding RNAs. As mentioned above, RNA-seq read alignments can reveal new alternative splicing events and isoforms. Alignments can also be used to accurately quantify gene and transcript expression, because the number of reads produced by a transcript is proportional to its abundance (**Box 2**). Discussion of polymorphism and fusion detection is out of the scope of this protocol, and we address transcript assembly and gene discovery only as they relate to differential expression analysis. For a further review of these topics, see Garber *et al.*<sup>12</sup>.

## Transcript assembly with Cufflinks

Accurately quantifying the expression level of a gene from RNA-seq reads requires accurately identifying which isoform of a given gene produced each read. This, of course, depends on knowing all of the splice variants (isoforms) of that gene. Attempting to quantify gene and transcript expression by using an incomplete or incorrect transcriptome annotation leads to inaccurate expression values<sup>8</sup>. Cufflinks assembles individual transcripts from RNA-seq reads that have been aligned to the genome. Because a sample may contain reads from multiple splice variants for a given gene, Cufflinks must be able to infer the splicing structure of each gene. However, genes sometimes have multiple alternative splicing events, and there may be many possible reconstructions of the gene model that explain the sequencing data. In fact, it is often not obvious how many splice variants of the gene may be present. Thus, Cufflinks reports a parsimonious transcriptome assembly of the data. The algorithm reports as few full-length transcript fragments or ‘transfrags’ as are needed to ‘explain’ all the splicing event outcomes in the input data.

After the assembly phase, Cufflinks quantifies the expression level of each transfrag in the sample. This calculation is made using a rigorous statistical model of RNA-seq and is used to filter out background or artifactual transfrags<sup>8</sup>. For example, with current library preparation protocols, most genes generate a small fraction of reads from immature primary transcripts that are generally not interesting to most users. As these transfrags are typically far less abundant in the library than the mature, spliced transcripts, Cufflinks can use its abundance estimates to automatically exclude them. Given a sample, Cufflinks can also quantify transcript abundances by using a reference annotation rather than assembling the reads. However, for multiple samples, we recommend that the user quantify genes and transcripts using Cuffdiff, as described below.

When you are working with several RNA-seq samples, it becomes necessary to pool the data and assemble it into a comprehensive set of transcripts before proceeding to differential analysis. A natural approach to this problem would be to simply pool aligned reads from all samples and run Cufflinks once on this combined set of alignments. However, we do not usually recommend this tactic for two reasons. First, because assembly becomes more computationally expensive as read depth increases, assembling the pooled alignments may not be feasible with the machines available in your laboratory. Second, with a pooled set of reads, Cufflinks will be faced with a more complex mixture of splice isoforms for many genes than would be seen when assembling the samples individually, and this increases the probability that it will assemble the transcripts incorrectly (associating the wrong outcomes of different splicing events in some transcripts). A better strategy is to assemble the samples individually and then merge the resulting assemblies together. We have recently developed a utility program, Cuffmerge, which handles this task using many of the same concepts and algorithms as Cufflinks does when assembling transcripts from individual reads.

Cuffmerge is essentially a ‘meta-assembler’—it treats the assembled transfrags the way Cufflinks treats reads, merging them together parsimoniously. Furthermore, when a reference genome annotation is available, Cuffmerge can integrate reference transcripts into the merged assembly. It performs a reference annotation-based transcript (RABT) assembly<sup>35</sup> to merge reference transcripts with sample transfrags and produces a single annotation file for use in downstream differential analysis. **Figure 3** shows an example of the benefits of merging sample assemblies with Cuffmerge.

Once each sample has been assembled and all samples have been merged, the final assembly can be screened for genes and transcripts that are differentially expressed or regulated between samples. This protocol recommends that you assemble your samples with Cufflinks before performing differential expression to improve accuracy, but this step is optional.



Assembly can be computationally demanding, and interpreting assemblies is often difficult, especially when sequencing depth is low, because distinguishing full-length isoforms from partially reconstructed fragments is not always possible without further experimental evidence. Furthermore, although Cufflinks assemblies are quite accurate when they are provided with sufficiently high-quality data, assembly errors do occur and can accumulate when merging many assemblies. When you are working with RNA-seq data from well-annotated organisms such as human, mouse or fruit fly, you may wish to run the alternate protocol ‘Quantification of reference annotation only’ (**Box 1**; see also **Table 1**).

Even for well-studied organisms, most RNA-seq experiments should reveal new genes and transcripts. A recent analysis of deep RNA-seq samples from 24 human tissues and cell lines revealed over 8,000 new long, noncoding RNAs along with numerous potential protein-coding genes<sup>6</sup>. Many users of RNA-seq are interested in discovering new genes and transcripts in addition to performing differential analysis. However, it can be difficult to distinguish full-length novel transcripts from partial fragments using RNA-seq data alone. Gaps in sequencing coverage will cause breaks in transcript reconstructions, just as they do during genome assembly. High-quality reconstructions of eukaryotic transcriptomes will contain thousands of full-length transcripts. Low-quality reconstructions, especially those produced from shallow sequencing runs (e.g., fewer than 10 million reads), may contain tens or even hundreds of thousands of partial transcript fragments. Cufflinks includes a utility program called ‘Cuffcompare’ that can compare Cufflinks assemblies to reference annotation files and help sort out new genes from known ones. Because of the difficulty in constructing transcriptome assemblies, we encourage users to validate novel genes and transcripts by traditional cloning and PCR-based techniques. We also encourage validation of transcript ends by rapid amplification of cDNA ends (RACE) to rule out incomplete reconstruction due to gaps in sequencing coverage. Although a complete discussion of transcript and gene discovery is beyond the scope of this protocol, readers interested in such analysis should consult the Cufflinks manual to help identify new transcripts<sup>6</sup>.

### Differential analysis with Cuffdiff

Cufflinks includes a separate program, Cuffdiff, which calculates expression in two or more samples and tests the statistical significance of each observed change in expression between them. The statistical model used to evaluate changes assumes that the number of reads produced by each transcript is proportional to its abundance but fluctuates because of technical variability during library preparation and sequencing and because of biological variability between replicates of the same experiment. Despite its exceptional overall accuracy, RNA-seq, like all other assays for gene expression, has sources of bias. These biases have been shown to depend greatly on library preparation protocol<sup>36–39</sup>. Cufflinks and Cuffdiff can automatically model and subtract a large fraction of the bias in RNA-seq read distribution across each transcript, thereby improving abundance estimates<sup>38</sup>.

Although RNA-seq is often noted to have substantially less technical variability than other gene expression assays (e.g., micro-arrays), biological variability will persist<sup>40</sup>. Cuffdiff allows you to supply multiple technical or biological replicate sequencing libraries per condition. With multiple replicates, Cuffdiff learns how read counts vary for each gene across the replicates and uses these variance estimates to calculate the significance of observed changes in expression. We strongly recommend that RNA-seq experiments be designed in replicate to control for batch effects such as variation in culture conditions. Advances in multiplexing techniques during sequencing now make it possible to divide sequencing output among replicates without increasing total sequencing depth (and thus cost of sequencing).

Cuffdiff reports numerous output files containing the results of its differential analysis of the samples. Gene and transcript expression level changes are reported in simple tabular output files that can be viewed with any spreadsheet application (such as Microsoft Excel). These files contain familiar statistics such as fold change (in  $\log_2$  scale), *P* values (both raw and corrected for multiple testing) and gene- and transcript-related attributes such as common name and location in the genome.

Cuffdiff also reports additional differential analysis results beyond simple changes in gene expression. The program can identify genes that are differentially spliced or differentially regulated via promoter switching. The software groups together isoforms of a gene that have the same TSS. These TSS groups represent isoforms that are all derived from the same pre-mRNA; accordingly, changes in abundance relative to one another reflect differential splicing of their common pre-mRNA. Cuffdiff also calculates the total expression level of a TSS group by adding up the expression levels of the isoforms within it. When a gene has multiple TSSs, Cuffdiff looks for changes in relative abundance between them, which reflect changes in TSS (and thus promoter) preference between conditions. The statistics used to evaluate significance of changes within and between TSS groupings are somewhat different from those used to assess simple expression level changes of a given transcript or gene. Readers interested in further statistical detail should see the supplemental material of Trapnell *et al.*<sup>8</sup>. **Figure 4** illustrates how Cuffdiff constructs TSS groupings and uses them to infer differential gene regulation.

### Visualization with CummeRbund

Cuffdiff provides analyses of differential expression and regulation at the gene and transcript level. These results are reported in a set of tab-delimited text files that can be opened with spreadsheet and charting programs such as Microsoft Excel. The Cuffdiff file formats are designed to simplify use by other downstream programs. However, browsing these files by eye is not especially easy, and working with data across multiple files can be quite difficult. For example, extracting the list of differentially expressed genes is fairly straightforward, but plotting the expression levels for each isoform of those genes requires a nontrivial script.

We have recently created a user-friendly tool, called CummeRbund, to help manage, visualize and integrate all of the data produced by a Cuffdiff analysis. CummeRbund drastically simplifies common data exploration tasks, such as plotting and cluster analysis of expression data (**Fig. 5**). Furthermore, you can create publication-ready plots with a single command. Scripted plotting also lets you automate plot generation, allowing you to reuse analyses from previous experiments. Finally, CummeRbund handles the transformation of Cuffdiff data into the R statistical computing environment, making RNA-seq expression analysis with Cuffdiff more compatible with many other advanced statistical analysis and plotting packages.

This protocol concludes with a brief exploration of the example data set using CummeRbund, but the plots illustrated here are only the beginning of what is possible with this tool. Furthermore, CummeRbund is new and under active development—future versions will contain powerful new views of RNA-seq data. Users familiar with ggplot<sup>41</sup>, the popular plotting packaging around which CummeRbund is designed, may wish to design their own plots and analysis functions. We strongly encourage such users to contribute their plotting scripts to the open-source CummeRbund project.

## Processing time and memory requirements

RNA-seq analysis is generally more computationally demanding than many other bioinformatics tasks. Analyzing large data sets requires a powerful workstation or server with ample disk space (see **Box 3**) and with at least 16 GB of RAM. Bowtie, TopHat and the Cufflinks tools are all designed to take advantage of multicore processors, and running the programs with multiple threads is highly recommended. Of the tasks in this protocol, read mapping with TopHat is usually the least demanding task in terms of memory, but mapping a full lane of HiSeq 100 bp paired-end reads can still take a day or two on a typical workstation or compute cluster node. If possible, you should align the reads from each sample on a separate machine to parallelize the total alignment workload. Assembling transcripts can also be very demanding in terms of both processing time and memory. You may want to consider using the `--mask/-M` option during your Cufflinks runs to exclude genes that are extremely abundant in your samples (e.g., actin), because Cufflinks may spend a long time assembling these genes. When a reference transcriptome annotation is available, Cuffmerge will add these genes back into the final transcriptome file used during differential analysis. Thus, Cuffdiff will still quantify expression for these genes—excluding them during sample assembly simply amounts to forgoing discovery of novel splice variants.

## RNA-seq experimental design

RNA-seq has been hailed as a whole-transcriptome expression assay of unprecedented sensitivity, but no amount of technical consistency or sensitivity can eliminate biological variability<sup>40</sup>. We strongly recommend that experimenters designing an RNA-seq study heed lessons learned from microarray analysis. In particular, biological replication of each condition is crucial. How deeply each condition must be replicated is an open research question, and more replicates are almost always preferable to fewer. Multiplexed RNA-seq is making replication possible without increasing total sequencing costs by reducing the total sequencing depth in each replicate and making experimental designs more robust. With currently available kits, sequencing each condition in triplicate is quite feasible. Thus, the protocol here is illustrated through an example experiment with three replicates of each condition.

When considering an RNA-seq experiment, two other design choices have a major effect on accuracy. Library fragments may be sequenced from one or both ends, and although paired-end reads are up to two times the cost of single-end reads, we and others<sup>24</sup> strongly recommend paired-end sequencing whenever possible. The marginal information provided by paired-end sequencing runs over single-end runs at the same depth is considerable. Cufflinks' algorithms for transcript assembly and expression quantitation are much more accurate with paired-end reads. Sequencing read length is also a major consideration, and longer reads are generally preferable to short ones. TopHat is more accurate when discovering splice junctions with longer reads, and reads of 75 bp and longer are substantially more powerful than shorter reads. However, as generating longer reads can add substantially to the cost of an RNA-seq experiment, many experimenters may wish to sequence more samples (or more replicates of the same samples) with shorter reads.

## MATERIALS

### EQUIPMENT

- Data (requirements vary according to your experimental goals; see EQUIPMENT SETUP)
- Bowtie software (<http://bowtie-bio.sourceforge.net/index.shtml/>)
- SAM tools (<http://samtools.sourceforge.net/>)



- TopHat software (<http://tophat.cbcb.umd.edu/>)
- Cufflinks software (<http://cufflinks.cbcb.umd.edu/>)
- CummeRbund software (<http://compbio.mit.edu/cummeRbund/>)
- Fruit fly iGenome packages (Ensembl build; download via the TopHat and Cufflinks websites, along with packages for many other organisms; see EQUIPMENT SETUP)
- Hardware (64-bit computer running either Linux or Mac OS X (10.4 Tiger or later); 4 GB of RAM (16 GB preferred); see EQUIPMENT SETUP)

## EQUIPMENT SETUP

▲ **CRITICAL** Most of the commands given in the protocol are runnable at the UNIX shell prompt, and all such commands are meant to be run from the example working directory. The protocol also includes small sections of code runnable in the R statistical computing environment. Commands meant to be executed from the UNIX shell (e.g., bash or csh) are prefixed with a '\$' character. Commands meant to be run from either an R script or at the R interactive shell are prefixed with a '>' character.

**Required data**—This protocol is illustrated through an example experiment in *Drosophila melanogaster* that you can analyze to familiarize yourself with the Tuxedo tools. We recommend that you create a single directory (e.g., 'my\_naseq\_exp') in which to store all example data and generated analysis files. All protocol steps are given assuming you are working from within this directory at the UNIX shell prompt.

To use TopHat and Cuffdiff for differential gene expression, you must be working with an organism with a sequenced genome. Both programs can also make use of an annotation file of genes and transcripts, although this is optional. TopHat maps reads to the genome using Bowtie (see EQUIPMENT), which requires a set of genomic index files. Indexes for many organisms can be downloaded from the Bowtie website.

If this is your first time running the protocol, download the fruit fly iGenome (see EQUIPMENT) to your working directory. Later, you may wish to move the package's files along with the iGenomes for other organisms to a common location on your file system. The packages are 'read-only' and do not need to be redownloaded with each run of the protocol. They are resources that are reused each time the protocol is run.

**Hardware setup**—The software used in this protocol is intended for operation on a 64-bit machine, running a 64-bit version of the operating system. This may exclude some Linux users running 32-bit kernels, but the tools used in the protocol can be compiled for 32-bit machines. See the Bowtie, TopHat, sequence alignment/map (SAM) tools and Cufflinks websites for more details. To process RNA-seq experiments, the machine used for the analysis will need at least 4 GB of RAM. We recommend a machine with at least 16 GB for analysis of deep sequencing data sets such as those produced by Illumina's HiSeq 2000 sequencer.

**Downloading and organizing required data**—Unpack the fruit fly iGenome and inspect the contents. Assuming we stored the package at *my\_naseq\_exp/*, the package expands to contain a folder *Drosophila\_melanogaster/Ensembl/BDGP5.25/*, which has the following structure: *Annotation/GenomeStudio/Sequence/* (i.e., three separate directories).

The Annotation directory contains another directory called 'Genes', which contains a file called 'genes.gtf'. For the time being, create a link to this file in your example working

directory (to simplify the commands needed during the protocol). From your working directory, type:

```
$ ln -s ../Drosophila_melanogaster/Ensembl/BDGP5.25/Annotation/Genes/
genes.gtf.
```

Similarly, create links to the Bowtie index included with the iGenome package:

```
$ ln -s ../Drosophila_melanogaster/Ensembl/BDGP5.25/Sequence/BowtieIndex/
genome.*.
```

**Downloading sequencing data**—In addition to the fruit fly iGenome package, to run the protocol through the examples given here you will need to download the sequencing data. Raw sequencing reads, aligned reads, assembled transfrags and differential analysis are all available through the Gene Expression Omnibus at accession GSE32038. Download these files and store them in a directory separate from your working directory so that you can compare them later with the files generated while running the protocol. Store the sequencing read files (those with extension ‘.fq’) in your example working directory.

**Downloading and installing software**—Create a directory to store all of the executable programs used in this protocol (if none already exists):

```
$ mkdir $HOME/bin
```

Add the above directory to your PATH environment variable:

```
$ export PATH = $HOME/bin:$PATH
```

To install the SAM tools, download the SAM tools (<http://samtools.sourceforge.net/>) and unpack the SAM tools tarball and cd to the SAM tools source directory:

```
$ tar jxvf samtools-0.1.17.tar.bz2 $
cd samtools-0.1.17
```

Copy the samtools binary to some directory in your PATH:

```
$ cp samtools $HOME/bin
```

To install Bowtie, download the latest binary package for Bowtie (<http://bowtie-bio.sourceforge.net/index.shtml>) and unpack the Bowtie zip archive and cd to the unpacked directory:

```
$ unzip bowtie-0.12.7-macos-10.5-x86_64.zip
$ cd bowtie-0.12.7
```

Copy the Bowtie executables to a directory in your PATH:

```
$ cp bowtie $HOME/bin
$ cp bowtie-build $HOME/bin
$ cp bowtie-inspect $HOME/bin
```

To install TopHat, download the binary package for version 1.3.2 of TopHat (<http://tophat.cbcb.umd.edu/>) and unpack the TopHat tarball and cd to the unpacked directory:

```
$ tar zxvf tophat-1.3.2.OSX_x86_64.tar.gz
$ cd tophat-1.3.2.OSX_x86_64
```

Copy the TopHat package executable files to some directory in your PATH:

```
cp * $HOME/bin
```

To install Cufflinks, download the binary package of version 1.2.1 for Cufflinks (<http://cufflinks.cbcb.umd.edu/>) and unpack the Cufflinks tarball and cd to the unpacked directory:

```
$ tar zxvf cufflinks-1.2.1.OSX_x86_64.tar.gz
$ cd cufflinks-1.2.1.OSX_x86_64
```

Copy the Cufflinks package executable files to some directory in your PATH:

```
$ cp * $HOME/bin
```

To Install CummeRbund, start an R session:

```
$ R
R version 2.13.0 (2011-04-13)
Copyright (C) 2011 The R Foundation for Statistical Computing
ISBN 3-900051-07-0
Platform: x86_64-apple-darwin10.6.0/x86_64 (64-bit)
R is free software and comes with ABSOLUTELY NO WARRANTY.
You are welcome to redistribute it under certain conditions.
Type 'license()' or 'licence()' for distribution details.
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Type 'contributors()' for more information and 'citation()' on how to cite R
or R packages in publications.
Type 'demo()' for some demos, 'help()' for online help, or
'help.start()' for an HTML browser interface to help.
Type 'q()' to quit R.
```

Install the CummeRbund package:

```
> source('http://www.bioconductor.org/biocLite.R')
> biocLite('cummeRbund')
```

## PROCEDURE

### Align the RNA-seq reads to the genome • TIMING ~6 h

1| Map the reads for each sample to the reference genome:

```
$ tophat -p 8 -G genes.gtf -o C1_R1_thout genome C1_R1_1.fq C1_R1_2.fq
$ tophat -p 8 -G genes.gtf -o C1_R2_thout genome C1_R2_1.fq C1_R2_2.fq
$ tophat -p 8 -G genes.gtf -o C1_R3_thout genome C1_R3_1.fq C1_R3_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R1_thout genome C2_R1_1.fq C1_R1_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R2_thout genome C2_R2_1.fq C1_R2_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R3_thout genome C2_R3_1.fq C1_R3_2.fq
```

? TROUBLESHOOTING

### Assemble expressed genes and transcripts • TIMING ~6 h

2| Assemble transcripts for each sample:

```
$ cufflinks -p 8 -o C1_R1_clout C1_R1_thout/accepted_hits.bam
$ cufflinks -p 8 -o C1_R2_clout C1_R2_thout/accepted_hits.bam
$ cufflinks -p 8 -o C1_R3_clout C1_R3_thout/accepted_hits.bam
$ cufflinks -p 8 -o C2_R1_clout C2_R1_thout/accepted_hits.bam
$ cufflinks -p 8 -o C2_R2_clout C2_R2_thout/accepted_hits.bam
$ cufflinks -p 8 -o C2_R3_clout C2_R3_thout/accepted_hits.bam
```

? TROUBLESHOOTING

3| Create a file called assemblies.txt that lists the assembly file for each sample. The file should contain the following lines:

```
./C1_R1_clout/transcripts.gtf
./C2_R2_clout/transcripts.gtf
./C1_R2_clout/transcripts.gtf
./C2_R1_clout/transcripts.gtf
./C1_R3_clout/transcripts.gtf
./C2_R3_clout/transcripts.gtf
```

4| Run Cuffmerge on all your assemblies to create a single merged transcriptome annotation:

```
cuffmerge -g genes.gtf -s genome.fa -p 8 assemblies.txt
```

## Identify differentially expressed genes and transcripts • TIMING ~6 h

5| Run Cuffdiff by using the merged transcriptome assembly along with the BAM files from TopHat for each replicate:

```
$ cuffdiff -o diff_out -b genome.fa -p 8 -L C1,C2 -u merged_asm/merged.gtf \
./C1_R1_thout/accepted_hits.bam,./C1_R2_thout/accepted_hits.bam,./
C1_R3_thout/ accepted_hits.bam \
./C2_R1_thout/accepted_hits.bam,./C2_R3_thout/accepted_hits.bam,./
C2_R2_thout/ accepted_hits.bam
```

? TROUBLESHOOTING

## Explore differential analysis results with CummeRbund • TIMING variable

6| Open a new plotting script file in the editor of your choice, or use the R interactive shell:

```
$ R
R version 2.13.0 (2011-04-13)
Copyright (C) 2011 The R Foundation for Statistical Computing
ISBN 3-900051-07-0
Platform: x86_64-apple-darwin10.6.0/x86_64 (64-bit)
R is free software and comes with ABSOLUTELY NO WARRANTY.
You are welcome to redistribute it under certain conditions.
Type 'license()' or 'licence()' for distribution details.
R is a collaborative project with many contributors.
Type 'contributors()' for more information and
'citation()' on how to cite R or R packages in publications.
Type 'demo()' for some demos, 'help()' for on-line help, or
'help.start()' for an HTML browser interface to help.
Type 'q()' to quit R.
```

7| Load the CummeRbund package into the R environment:

```
> library(cummeRbund)
```

8| Create a CummeRbund database from the Cuffdiff output:

```
> cuff_data <- readCufflinks('diff_out')
```

9| Plot the distribution of expression levels for each sample (**Fig. 6**):

```
> csDensity(genes(cuff_data))
```

10| Compare the expression of each gene in two conditions with a scatter plot (**Fig. 7**):



```
> csScatter(genes(cuff_data), 'C1', 'C2')
```

**11|** Create a volcano plot to inspect differentially expressed genes (**Fig. 8**):

```
> csVolcano(genes(cuff_data), 'C1', 'C2')
```

**12|** Plot expression levels for genes of interest with bar plots (**Fig. 9a**):

```
> mygene <- getGene(cuff_data, 'regucalcin')
> expressionBarplot (mygene)
```

**13|** Plot individual isoform expression levels of selected genes of interest with bar plots (**Fig. 9b**):

```
> expressionBarplot(isoforms (mygene))
```

**14|** Inspect the map files to count the number of reads that map to each chromosome (optional). From your working directory, enter the following at the command line:

```
$ for i in *thout/accepted_hits.bam; do
echo $i; samtools index $i ; done;
$ for i in *thout/accepted_hits.bam; do
echo $i; samtools idxstats $i ; done;
```

The first command creates a searchable index for each map file so that you can quickly extract the alignments for a particular region of the genome or collect statistics on the entire alignment file. The second command reports the number of fragments that map to each chromosome.

## **Compare transcriptome assembly to the reference transcriptome (optional) • TIMING <5 min**

**15|** You can use a utility program included in the Cufflinks suite called Cuffcompare to compare assemblies against a reference transcriptome. Cuffcompare makes it possible to separate new genes from known ones, and new isoforms of known genes from known splice variants. Run Cuffcompare on each of the replicate assemblies as well as the merged transcriptome file:

```
$ find . -name transcripts.gtf > gtf_out_list.txt
$ cuffcompare -i gtf_out_list.txt -r genes.gtf
$ for i in `find . -name *.tmap`; do echo
$i; awk 'NR > 1 { s[$3] ++ } END { \ for (j in s) { print j, s[j] } } ' $i;
done;
```

The first command creates a file called gtf\_out\_list.txt that lists all of the GTF files in the working directory (or its subdirectories). The second command runs Cuffcompare, which

compares each assembly GTF in the list to the reference annotation file `genes.gtf`. Cuffcompare produces a number of output files and statistics, and a full description of its behavior and functionality is out of the scope of this protocol. Please see the Cufflinks manual (<http://cufflinks.cbcb.umd.edu/manual.html>) for more details on Cuffcompare's output files and their formats. The third command prints a simple table for each assembly that lists how many transcripts in each assembly are complete matches to known transcripts, how many are partial matches and so on.

### Record differentially expressed genes and transcripts to files for use in downstream analysis (optional) • TIMING <5 min

**16|** You can use CummeRbund to quickly inspect the number of genes and transcripts that are differentially expressed between two samples. The R code below loads the results of Cuffdiff's analysis and reports the number of differentially expressed genes:

```
> library(cummeRbund)
> cuff_data <- readCufflinks('diff_out')
>
> cuff_data
CuffSet instance with:
2 samples
14353 genes
26464 isoforms
17442 TSS
13727 CDS
14353 promoters
17442 splicing
11372 relCDS
> gene_diff_data <- diffData(genes(cuff_data))
> sig_gene_data <- subset(gene_diff_data, (significant == 'yes'))
> nrow(sig_gene_data)
[1] 308
```

**17|** Similar snippets can be used to extract differentially expressed transcripts or differentially spliced and regulated genes:

```
> isoform_diff_data <- diffData(isoforms(cuff_data), 'C1', 'C2')
> sig_isoform_data <- subset(isoform_diff_data, (significant == 'yes'))
> nrow(sig_isoform_data)
> tss_diff_data <- diffData(TSS(cuff_data), 'C1', 'C2')
> sig_tss_data <- subset(tss_diff_data, (significant == 'yes'))
> nrow(sig_tss_data)
> cds_diff_data <- diffData(CDS(cuff_data), 'C1', 'C2')
> sig_cds_data <- subset(cds_diff_data, (significant == 'yes'))
> nrow(sig_cds_data)
> promoter_diff_data <- distValues(promoters(cuff_data))
> sig_promoter_data <- subset(promoter_diff_data, (significant == 'yes'))
> nrow(sig_promoter_data)
> splicing_diff_data <- distValues(splicing(cuff_data))
```

```
> sig_splicing_data <- subset(splicing_diff_data, (significant == 'yes'))
> nrow(sig_splicing_data)
> relCDS_diff_data <- distValues(relCDS(cuff_data))
> sig_relCDS_data <- subset(relCDS_diff_data, (significant == 'yes'))
> nrow(sig_relCDS_data)
```

**18** The code above can also be modified to write out small files containing only the differentially expressed genes. These files may be more manageable for some spreadsheet software than the full output files produced by Cuffdiff. The R snippet below writes a table of differentially expressed genes into a file named `diff_genes.txt`.

```
> gene_diff_data <- diffData(genes(cuff_data))
> sig_gene_data <- subset(gene_diff_data, (significant == 'yes'))
> write.table(sig_gene_data, 'diff_genes.txt', sep = 't', row.names = F,
col.names = T, quote = F)
```

## ? TROUBLESHOOTING

Troubleshooting advice can be found in **Table 2**.

### • TIMING

Running this protocol on the example data provided will take ~18 h on a machine with eight processing cores and at least 8 GB of RAM. The time spent is nearly evenly divided between read alignment, assembly and differential analysis. However, larger data sets with more samples or deeper sequencing runs may take longer, and timing will obviously vary across different computers.

Step 1, align the RNA-seq reads to the genome: ~6 h

Steps 2–4, assemble expressed genes and transcripts: ~6 h

Step 5, identify differentially expressed genes and transcripts: ~6 h

Steps 6–14, explore differential analysis results with CummeRbund: variable

Step 15, compare transcriptome assembly to the reference transcriptome (optional): <5 min

Steps 16–18, record differentially expressed genes and transcripts to files for use in downstream analysis (optional): <5 min

## ANTICIPATED RESULTS

### RNA-seq read alignments

Accurate differential analysis depends on accurate spliced read alignments. Typically, at least 70% of RNA-seq reads should align to the genome, and lower mapping rates may indicate poor quality reads or the presence of contaminant. Users working with draft genome assemblies may also experience lower rates if the draft is missing a substantial fraction of the genes, or if the contigs and scaffolds have poor base call quality. The fraction of alignments that span splice junctions depends on read length and splicing complexity and the completeness of existing gene annotation, if available (see INTRODUCTION). **Table 3** lists the number of read alignments produced for each replicate during the execution of this protocol on the example data.

## Transcriptome reconstruction

Because transcriptome annotations are still incomplete, most RNA-seq studies will reveal new genes and transcripts. However, some transcripts may be expressed at such low abundance that they may not be fully covered by sequencing reads and are thus only partially reconstructed by Cufflinks. The Cuffcompare utility used in Step 15 tabulates known and novel transcripts and can help triage newly discovered genes for further investigation.

**Table 4** summarizes the transcriptome reconstructions for each replicate and the merged transcriptome assembly produced by Cufflinks from the example data. The merged assemblies (created in Step 4) contain more full-length reference transcripts and fewer partial transcripts than any of the individual replicate assemblies. In this simulation, we have sequenced only the reference transcriptome; hence, all of the ‘novel’ transfrags are in fact assembly artifacts. The merge contains more artifacts than any of the replicate assemblies as well. Note also that the merge with reference results in far more reference transcripts than the merge without reference assembly. This is because Cuffmerge includes all reference transcripts, even those that are not expressed in the assemblies being merged. Whenever possible, a reference annotation should be included during the merge.

## Differential expression and regulation analysis

This protocol, if run correctly, should reveal markedly differentially expressed genes and transcripts between two or more conditions. In an ideal experiment, the protocol should not result in more spurious genes and transcripts than expected according to the false discovery rate (the default false discovery rate for Cuffdiff is 5%). However, poorly replicated conditions, inadequate depth or quality of sequencing and errors in the underlying annotation used to quantify genes and transcripts can all lead to artifacts during differential analysis. Transcriptome assembly errors during Steps 2–5 can contribute to missing or spuriously reported differential genes, and the prevalence of such errors is highly variable, depending on overall depth of sequencing, read and fragment length, gene density in the genome, transcriptome splicing complexity and transcript abundance.

Transcript expression levels vary over a dynamic range of 5–10 orders of magnitude and are often roughly log-normally distributed with an additional ‘background’ mode near 0. **Figure 6** shows the distribution of expression levels used in the example data set, which were generated from a real *Drosophila* sequencing experiment and represent typical expression profiles. The expression of each gene is compared in **Figure 7**, with the synthetically perturbed genes clearly visible. The ‘volcano plot’ in **Figure 8** relates the observed differences in gene expression to the significance associated with those changes under Cuffdiff’s statistical model. Note that large fold changes in expression do not always imply statistical significance, as those fold changes may have been observed in genes that received little sequencing (because of low overall expression) or with many isoforms. The measured expression level for such genes tends to be highly variable across repeated sequencing experiments; thus, Cuffdiff places greater uncertainty on its significance of any observed fold changes. Cuffdiff also factors this uncertainty into the confidence intervals placed around the reported expression levels for genes and transcripts.

**Figure 9a** shows the expression level of *regucalcin* (*D. melanogaster*; encoding CG1803 gene product from transcript CG1803-RA) in the two example conditions. Expression in condition 2 is approximately threefold higher than in condition 1, and the confidence interval is tight around each measurement. Tight confidence intervals are common around moderate and high gene expression values, especially when the genes have fewer than three or four isoforms. A plot of isoform-level expression values shows this change to be

attributable to upregulation of a single regucalcin isoform (**Fig. 9b**). Again, confidence intervals are tight because overall depth of sequencing for this gene is high, and each isoform has a ‘distinguishing’ feature, such as a unique exon, covered by many reads in both samples. This allows Cuffdiff to calculate accurate measurements in which it has confidence. Increased sequenced depth on that isoform's unique initial exon is clearly visible (**Fig. 9c**), but we caution users from attempting to visually validate expression levels or fold change by viewing read depth in a browser. Expression depends on both depth and transcript length, and coverage histograms are susceptible to visual scaling artifacts introduced by graphical summaries of sequencing data.

In contrast to *regucalcin*, *Rala* (encoding Ras-related protein), which has lower expression and depth of sequencing than regucalcin, has larger isoform-level measurement uncertainty in expression; this, in turn, contributes to higher gene-level expression variance and prevents Cuffdiff from calling this gene's observed fold change significant (**Fig. 10**). Note that this gene also has significantly differentially expressed isoforms. However, as a gene's expression level is the sum of the expression levels of its isoforms, and some *Rala* isoforms are increased while others are decreased, the fold change in overall gene expression is modest.

The number of genes and transcripts reported as differentially expressed or regulated depends entirely on the conditions being compared. A comparison between true replicates should return few if any such genes and transcripts, whereas a comparison of different tissues or cell lines will generally return hundreds or even thousands of differentially expressed genes. It is not uncommon to find genes with relatively small fold changes (e.g., less than twofold) in expression marked as significant. This reflects the high overall sensitivity of RNA-seq compared with other whole-transcriptome expression quantification platforms. **Table 5** lists the values you should expect to see when running Steps 16 and 17 of the protocol on the example data.

## Acknowledgments

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## Box 1 | Alternate protocols

### A. Strand-specific RNA-seq

1. At Step 1, supply the option '--library-type' to TopHat to enable strand-specific processing of the reads. TopHat will map the reads for each sample to the reference genome and will attach meta-data to each alignment that Cufflinks and Cuffdiff can use for more accurate assembly and quantification. The --library-type option requires an argument that specifies which strand-specific protocol was used to generate the reads. See **Table 1** for help in choosing a library type.

```
$ tophat -p 8 -G genes.gtf -o C1_R1_thout --library-type = fr-firststrand
\ genome C1_R1_1.fq C1_R1_2.fq
$ tophat -p 8 -G genes.gtf -o C1_R2_thout --library-type = fr-firststrand
\ genome C1_R2_1.fq C1_R2_2.fq
$ tophat -p 8 -G genes.gtf -o C1_R3_thout --library-type = fr-firststrand
\ genome C1_R3_1.fq C1_R3_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R1_thout --library-type = fr-firststrand
\ genome C2_R1_1.fq C2_R1_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R2_thout --library-type = fr-firststrand
\ genome C2_R2_1.fq C2_R2_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R3_thout --library-type = fr-firststrand
\ genome C2_R3_1.fq C1_R3_2.fq
```

### B. Quantification of reference annotation only (no gene/transcript discovery)

1. At Step 1, supply the option '--no-novel-juncs' to TopHat to map the reads for each sample to the reference genome, with novel splice discovery disabled:

```
$ tophat -p 8 -G genes.gtf -o C1_R1_thout --no-novel-juncs genome
C1_R1_1.fq C1_R1_2.fq
$ tophat -p 8 -G genes.gtf -o C1_R2_thout --no-novel-juncs genome
C1_R2_1.fq C1_R2_2.fq
$ tophat -p 8 -G genes.gtf -o C1_R3_thout --no-novel-juncs genome
C1_R3_1.fq C1_R3_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R1_thout --no-novel-juncs genome
C2_R1_1.fq C1_R1_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R2_thout --no-novel-juncs genome
C2_R2_1.fq C1_R2_2.fq
$ tophat -p 8 -G genes.gtf -o C2_R3_thout --no-novel-juncs genome
C2_R3_1.fq C1_R3_2.fq
```

- 2 Skip PROCEDURE Steps 2–4.
- 3 Run Cuffdiff using the reference transcriptome along with the BAM files from TopHat for each replicate:

```
$ cuffdiff -o diff_out -b genome.fa -p 8 -u genes.gtf \
./C1_R1_thout/accepted_hits.bam,./C1_R2_thout/accepted_hits.bam,./
```

```
C1_R3_thout/accepted_hits.bam \
./C2_R1_thout/accepted_hits.bam,./C2_R3_thout/accepted_hits.bam,./
C2_R2_thout/accepted_hits.bam
```

### C. Quantification without a reference annotation

1. Map the reads for each sample to the reference genome:

```
$ tophat -p 8 -o C1_R1_thout genome C1_R1_1.fq C1_R1_2.fq
$ tophat -p 8 -o C1_R2_thout genome C1_R2_1.fq C1_R2_2.fq
$ tophat -p 8 -o C1_R3_thout genome C1_R3_1.fq C1_R3_2.fq
$ tophat -p 8 -o C2_R1_thout genome C2_R1_1.fq C1_R1_2.fq
$ tophat -p 8 -o C2_R2_thout genome C2_R2_1.fq C1_R2_2.fq
$ tophat -p 8 -o C2_R3_thout genome C2_R3_1.fq C1_R3_2.fq
```

- 2 Perform PROCEDURE Steps 2 and 3.
- 3 Run Cuffmerge on all your assemblies to create a single merged transcriptome annotation:

```
cuffmerge -s genome.fa -p 8 assemblies.txt
```

### D. Analysis of single-ended sequencing experiments

1. At Step 1, simply supply the single FASTQ file for each replicate to TopHat to map the reads for each sample to the reference genome:

```
$ tophat -p 8 -G genes.gtf -o C1_R1_thout genome C1_R1.fq
$ tophat -p 8 -G genes.gtf -o C1_R2_thout genome C1_R2.fq
$ tophat -p 8 -G genes.gtf -o C1_R3_thout genome C1_R3.fq
$ tophat -p 8 -G genes.gtf -o C2_R1_thout genome C2_R1.fq
$ tophat -p 8 -G genes.gtf -o C2_R2_thout genome C2_R2.fq
$ tophat -p 8 -G genes.gtf -o C2_R3_thout genome C2_R3.fq
```

- 2 Perform PROCEDURE Steps 2–18.

**Box 2 | Calculating expression levels from read counts**

The number of RNA-seq reads generated from a transcript is directly proportional to that transcript's relative abundance in the sample. However, because cDNA fragments are generally size-selected as part of library construction (to optimize output from the sequencer), longer transcripts produce more sequencing fragments than shorter transcripts. For example, suppose a sample has two transcripts, A and B, both of which are present at the same abundance. If B is twice as long as A, an RNA-seq library will contain (on average) twice as many reads from B as from A. To calculate the correct expression level of each transcript, Cufflinks must count the reads that map to each transcript and then normalize this count by each transcript's length. Similarly, two sequencing runs of the same library may produce different volumes of sequencing reads. To compare the expression level of a transcript across runs, the counts must be normalized for the total yield of the machine. The commonly used fragments per kilobase of transcript per million mapped fragments (or FPKM<sup>8</sup>, also known as RPKM<sup>1</sup> in single-ended sequencing experiments) incorporates these two normalization steps to ensure that expression levels for different genes and transcripts can be compared across runs.

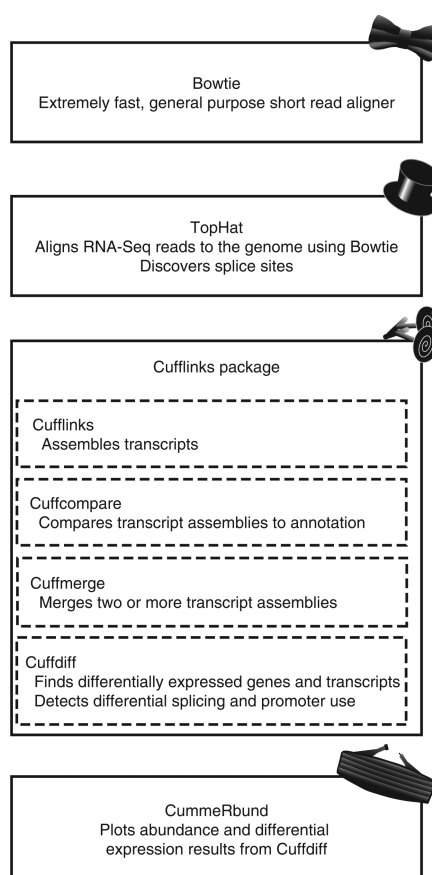
When a gene is alternatively spliced and produces multiple isoforms in the same sample, many of the reads that map to it will map to constitutive or shared exons, complicating the process of counting reads for each transcript. A read from a shared exon could have come from one of several isoforms. To accurately compute each transcript's expression level, a simple counting procedure will not suffice; more sophisticated statistical inference is required. Cufflinks and Cuffdiff implement a linear statistical model to estimate an assignment of abundance to each transcript that explains the observed reads with maximum likelihood.

Because Cufflinks and Cuffdiff calculate the expression level of each alternative splice transcript of a gene, calculating the expression level of a gene is simple—the software simply adds up the expression level of each splice variant. This is possible because FPKM is directly proportional to abundance. In fact, the expression level of any group of transcripts (e.g., a group of transcripts that share the same promoter) can be safely computed by adding the expression levels of the members of that group.

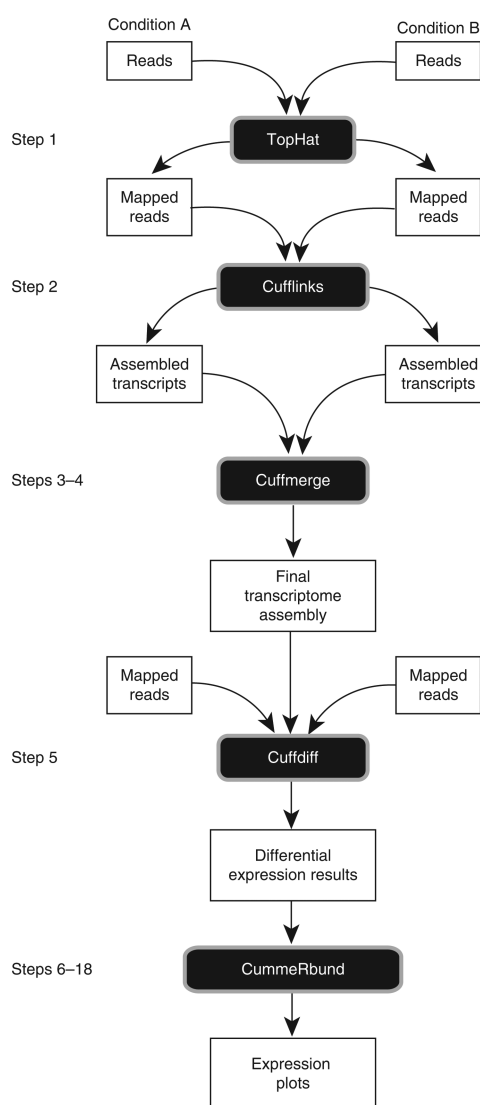


**Box 3 | File formats and data storage**

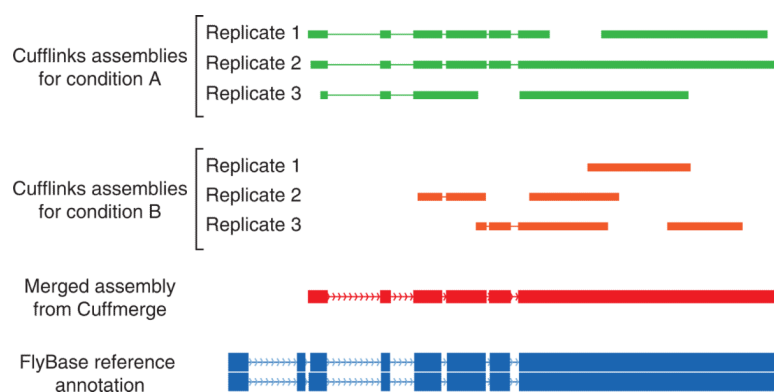
Storing RNA-seq data and analysis results in standardized, well-documented file formats is crucial for data sharing between laboratories and for reuse or reproduction of past experimental data. The next-generation sequencing informatics community has worked hard to adopt open file standards. Although some of these formats are still evolving, data storage conventions have matured substantially. Raw, unmapped sequencing reads may be one of several formats specific to the vendor or instrument, but the most commonly encountered format is FASTQ, a version of FASTA that has been extended with Phred base quality scores. TopHat accepts FASTQ and FASTA files of sequencing reads as input. Alignments are reported in BAM files. BAM is the compressed, binary version of SAM<sup>43</sup>, a flexible and general purpose read alignment format. SAM and BAM files are produced by most next-generation sequence alignment tools as output, and many downstream analysis tools accept SAM and BAM as input. There are also numerous utilities for viewing and manipulating SAM and BAM files. Perhaps most popular among these are the SAM tools (<http://samtools.sourceforge.net/>) and the Picard tools (<http://picard.sourceforge.net/>). Both Cufflinks and Cuffdiff accept SAM and BAM files as input. Although FASTQ, SAM and BAM files are all compact, efficient formats, typical experiments can still generate very large files. It is not uncommon for a single lane of Illumina HiSeq sequencing to produce FASTQ and BAM files with a combined size of 20 GB or larger. Laboratories planning to perform more than a small number of RNA-seq experiments should consider investing in robust storage infrastructure, either by purchasing their own hardware or through cloud storage services<sup>44</sup>.

**Figure 1.**

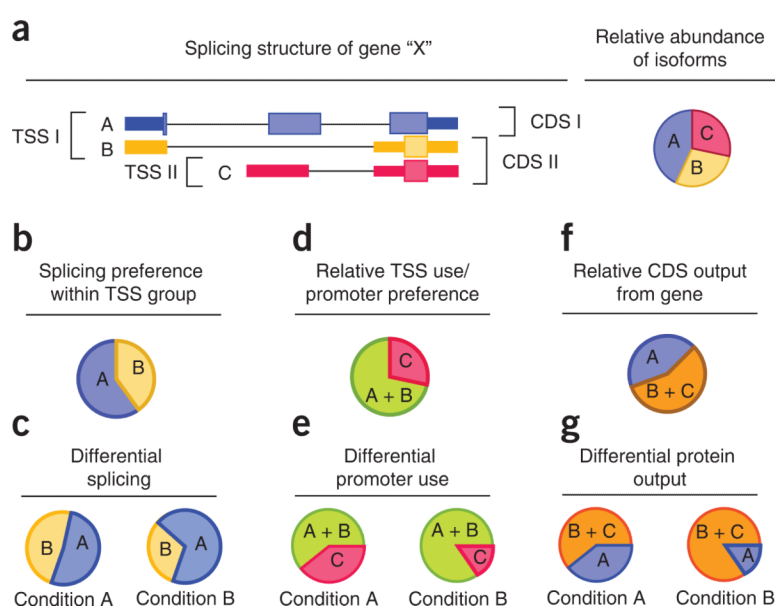
Software components used in this protocol. Bowtie<sup>33</sup> forms the algorithmic core of TopHat, which aligns millions of RNA-seq reads to the genome per CPU hour. TopHat's read alignments are assembled by Cufflinks and its associated utility program to produce a transcriptome annotation of the genome. Cuffdiff quantifies this transcriptome across multiple conditions using the TopHat read alignments. CummeRbund helps users rapidly explore and visualize the gene expression data produced by Cuffdiff, including differentially expressed genes and transcripts.

**Figure 2.**

An overview of the Tuxedo protocol. In an experiment involving two conditions, reads are first mapped to the genome with TopHat. The reads for each biological replicate are mapped independently. These mapped reads are provided as input to Cufflinks, which produces one file of assembled transfrags for each replicate. The assembly files are merged with the reference transcriptome annotation into a unified annotation for further analysis. This merged annotation is quantified in each condition by Cuffdiff, which produces expression data in a set of tabular files. These files are indexed and visualized with CummeRbund to facilitate exploration of genes identified by Cuffdiff as differentially expressed, spliced, or transcriptionally regulated genes. FPKM, fragments per kilobase of transcript per million fragments mapped.

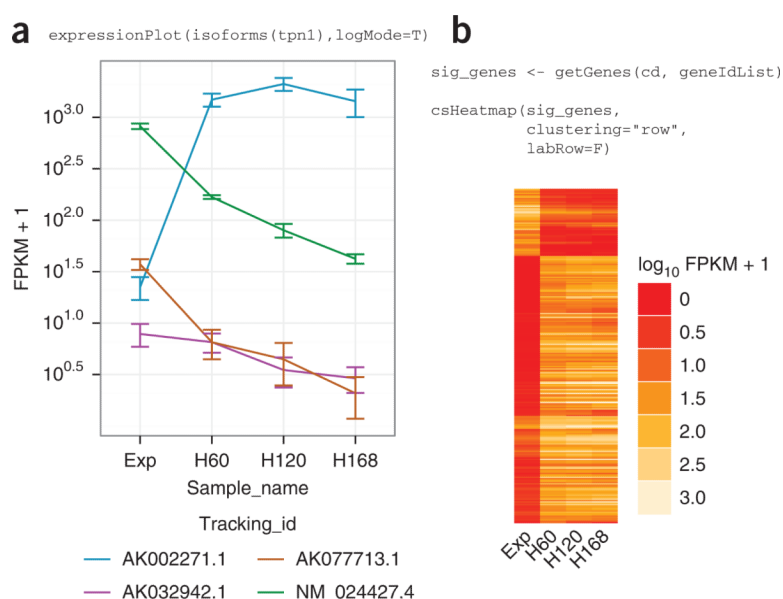
**Figure 3.**

Merging sample assemblies with a reference transcriptome annotation. Genes with low expression may receive insufficient sequencing depth to permit full reconstruction in each replicate. However, merging the replicate assemblies with Cuffmerge often recovers the complete gene. Newly discovered isoforms are also integrated with known ones at this stage into more complete gene models.

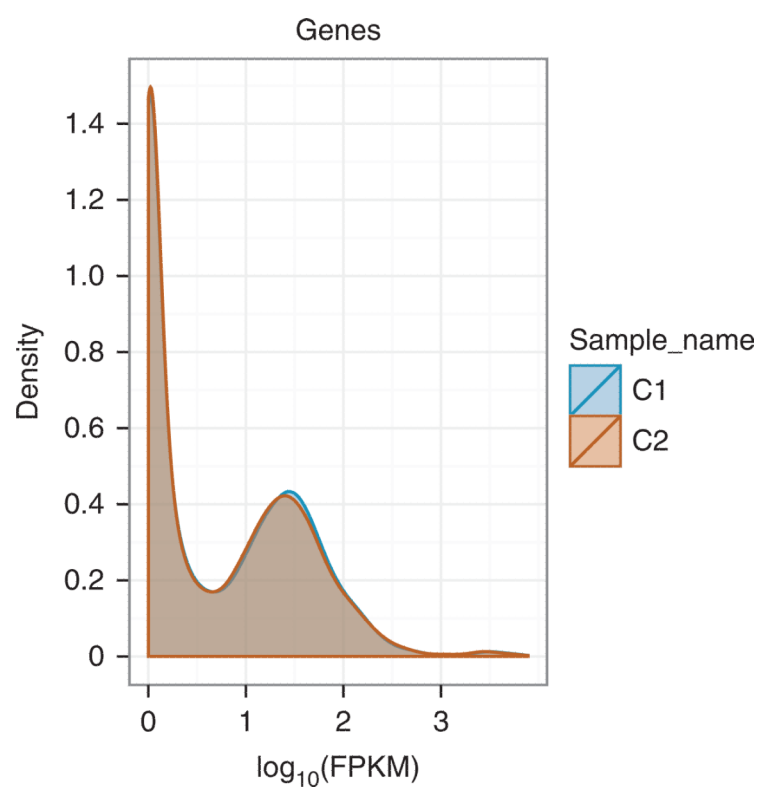
**Figure 4.**

Analyzing groups of transcripts identifies differentially regulated genes. **(a)** Genes may produce multiple splice variants (labeled A–C) at different abundances through alternative transcription start sites (TSS), alternative cleavage and polyadenylation of 3' ends, or by alternative splicing of primary transcripts. **(b)** Grouping isoforms by TSS and looking for changes in relative abundance between and within these groups yield mechanistic clues into how genes are differentially regulated. **(c)** For example, in the above hypothetical gene, changes in the relative abundance between isoforms A and B within TSS I group across conditions may be attributable to differential splicing of the primary transcript from which they are both produced. **(d)** Adding their expression levels yields a proxy expression value for this primary transcript. **(e)** Changes in this level relative to the gene's other primary transcript (i.e., isoform C) indicate possible differential promoter preference across conditions. **(f,g)** Similarly, genes with multiple annotated coding sequences (CDS) **(f)** can be analyzed for differential output of protein-coding sequences **(g)**.

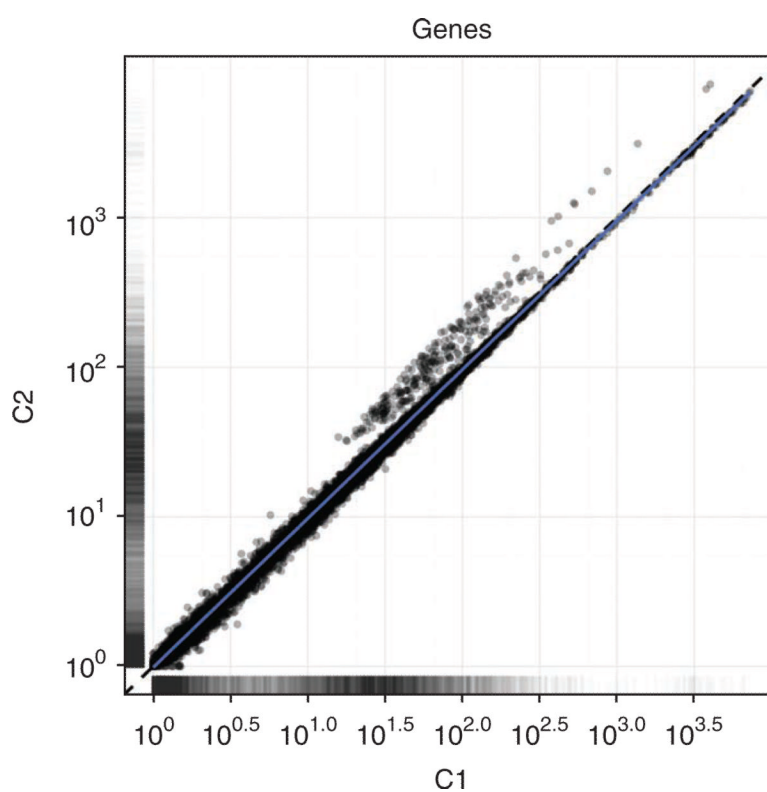


**Figure 5.**

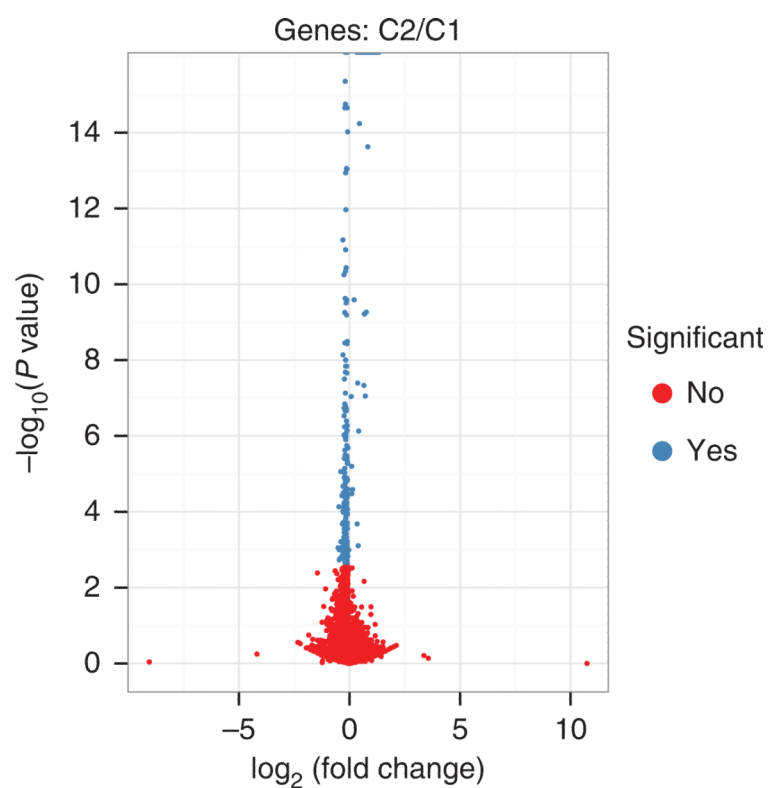
CummeRbund helps users rapidly explore their expression data and create publication-ready plots of differentially expressed and regulated genes. With just a few lines of plotting code, CummeRbund can visualize differential expression at the isoform level, as well as broad patterns among large sets of genes. **(a)** A myoblast differentiation time-course experiment reveals the emergence of a skeletal muscle-specific isoform of tropomyosin I. **(b)** This same time-course data capture the dynamics of hundreds of other genes in the mouse transcriptome during muscle development<sup>8</sup>. FPKM, fragments per kilobase of transcript per million fragments mapped.



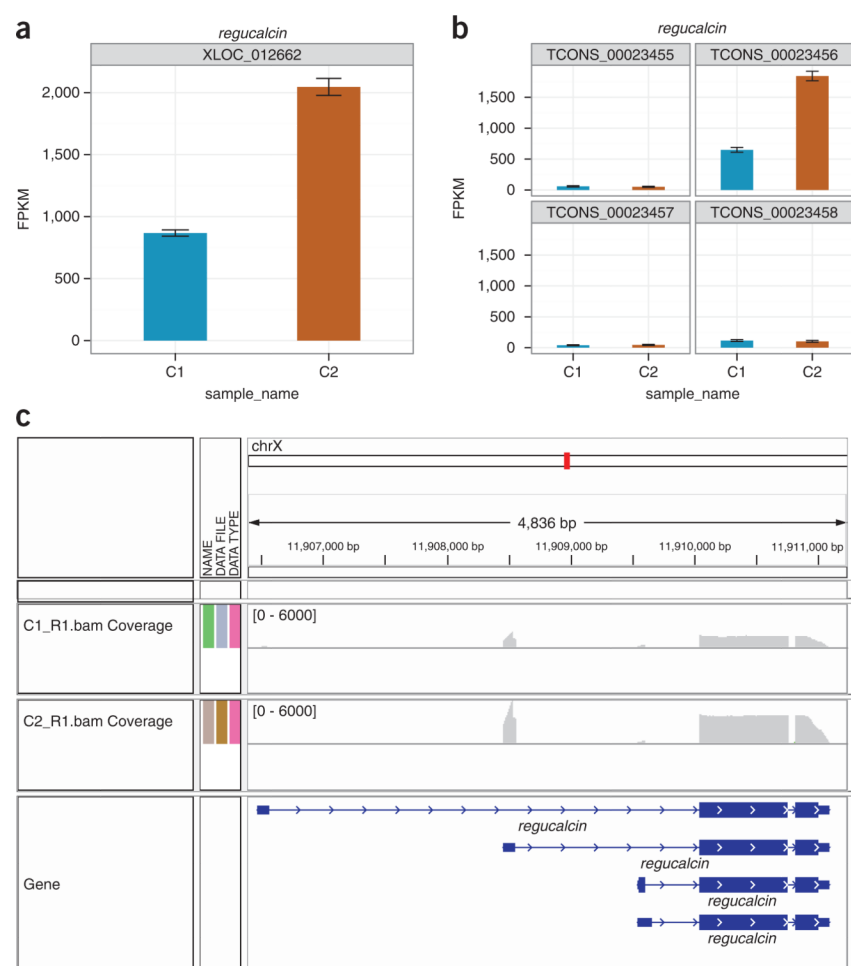
**Figure 6.** CummeRbund plots of the expression level distribution for all genes in simulated experimental conditions C1 and C2. FPKM, fragments per kilobase of transcript per million fragments mapped.



**Figure 7.** CummeRbund scatter plots highlight general similarities and specific outliers between conditions C1 and C2. Scatter plots can be created from expression data for genes, splice isoforms, TSS groups or CDS groups.



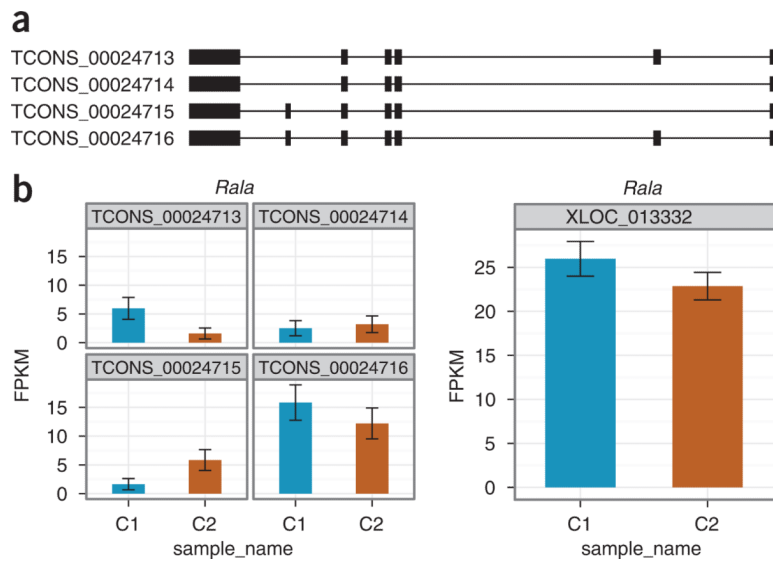
**Figure 8.** CummeRbund volcano plots reveal genes, transcripts, TSS groups or CDS groups that differ significantly between the pairs of conditions C1 and C2.

**Figure 9.**

Differential analysis results for *regucalcin*. **(a)** Expression plot shows clear differences in the expression of *regucalcin* across conditions C1 and C2, measured in FPKM (**Box 2**).

Expression of a transcript is proportional to the number of reads sequenced from that transcript after normalizing for that transcript's length. Each gene and transcript expression value is annotated with error bars that capture both cross-replicate variability and measurement uncertainty as estimated by Cuffdiff's statistical model of RNA-seq. **(b)**

Changes in *regucalcin* expression are attributable to a large increase in the expression of one of four alternative isoforms. **(c)** The read coverage, viewed through the genome browsing application IGV<sup>42</sup>, shows an increase in sequencing reads originating from the gene in condition C2.

**Figure 10.**

Differential analysis results for *Rala*. **(a)** This gene has four isoforms in the merged assembly. **(b)** Cuffdiff identifies TCONS\_00024713 and TCONS\_00024715 as being significantly differentially expressed. The relatively modest overall change in gene-level expression, combined with high isoform-level measurement variability, leaves Cuffdiff unable to reject the null hypothesis that the observed gene level is attributable to measurement or cross-replicate variability.

**TABLE 1**

Library type options for TopHat and Cufflinks.

Library type	RNA-seq protocol	Description
fr-unstranded (default)	Illumina TruSeq	Reads from the leftmost end of the fragment (in transcript coordinates) map to the transcript strand, and the rightmost end maps to the opposite strand
fr-firststrand	dUTP, NSR, NNSR <sup>39</sup>	Same as above except we enforce the rule that the rightmost end of the fragment (in transcript coordinates) is the first sequenced (or only sequenced for single-end reads). Equivalently, it is assumed that only the strand generated during first strand synthesis is sequenced
fr-secondstrand	Directional Illumina (Ligation), Standard SOLiD	Same as above except TopHat/Cufflinks enforce the rule that the leftmost end of the fragment (in transcript coordinates) is the first sequenced (or only sequenced for single-end reads). Equivalently, it is assumed that only the strand generated during second strand synthesis is sequenced



TABLE 2

Troubleshooting table.

Step	Problem	Possible reason	Solution
1	TopHat cannot find Bowtie or the SAM tools	Bowtie and/or SAM tools binary executables are not in a directory listed in the PATH shell environment variable	Add the directories containing these executables to the PATH environment variable. See the man page of your UNIX shell for more details
2	Cufflinks crashes with a 'bad_alloc' error Cufflinks takes excessively long to finish	Machine is running out of memory trying to assemble highly expressed genes	Pass the <code>--max-bundle-frags</code> option to Cufflinks with a value of $< 1,000,000$ (the default). Try 500,000 at first, and lower values if the error is still thrown
5	Cuffdiff crashes with a 'bad_alloc' error Cuffdiff takes excessively long to finish	Machine is running out of memory trying to quantify highly expressed genes	Pass the <code>--max-bundle-frags</code> option to Cuffdiff with a value of $< 1,000,000$ (the default). Try 500,000 at first, and lower values if the error is still thrown
	Cuffdiff reports FPKM = 0 for all genes and transcripts	Chromosome names in GTF file do not match the names in the BAM alignment files	Use a GTF file and alignments that has matching chromosome names (e.g., the GTF included with an iGenome index)

**TABLE 3**

Expected read mapping statistics.

Chromosome	C1 rep 1	C1 rep 2	C1 rep 3	C2 rep 1	C2 rep 2	C2 rep 3
2L	4,643,234	4,641,231	4,667,543	4,594,554	4,586,366	4,579,505
2R	4,969,590	4,959,051	4,956,781	5,017,315	5,016,948	5,024,226
3L	4,046,843	4,057,512	4,055,992	4,111,517	4,129,373	4,104,438
3R	5,341,512	5,340,867	5,312,468	5,292,368	5,301,698	5,306,576
4	201,496	202,539	200,568	196,314	194,233	194,028
M	0	0	0	0	0	0
X	4,145,051	4,144,260	4,152,693	4,131,799	4,114,340	4,134,175
Total	23,347,726	23,345,460	23,346,045	23,343,867	23,342,958	23,342,948

**TABLE 4**

Transfrag reconstruction statistics for the example data set.

Assembly	Full length	Partial	Novel
C1 rep 1	8,549	940	1,068
C1 rep 2	8,727	958	1,151
C1 rep 3	8,713	996	1,130
C2 rep 1	8,502	937	1,118
C2 rep 2	8,749	945	1,158
C2 rep 3	8,504	917	1,091
Merged with reference	21,919	35	2,191
Merged without reference	10,056	590	1,952

**TABLE 5**

Differentially expressed and regulated gene calls made for the example data set.

Differentially expressed genes	308
Differentially expressed transcripts	165
Differentially expressed TSS groups	226
Differentially expressed coding sequences	118
Differentially spliced TSS groups	75
Genes with differential promoter use	175
Genes with differential CDS output	42